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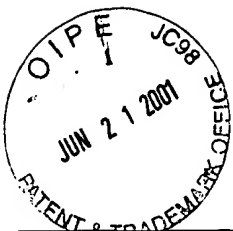
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06-22-01

CPA #

#14 CPA

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DOCKET NUMBER	ANTICIPATED CLASSIFICATION OF THIS APPLICATION:		PRIOR APPLICATION	
	CLASS	SUBCLASS	EXAMINER	ART UNIT
10873.274US11			Angebrannt, M.	1756

## CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EL815525908US  
Date of Deposit: June 21, 2001

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, Washington, D.C. 20231.

By: *Omesh Singh*  
Name: Omesh Singh

## REQUEST FOR CONTINUED PROSECUTION APPLICATION UNDER 37 C.F.R. § 1.53(d)

Box CPA  
Commissioner for Patents  
Washington, DC 20231

Dear Sir:

This is a request for filing a continued prosecution application under 37 CFR § 1.53(d) of prior pending patent application Serial No. 09/390,228, filed on September 3, 1999, which is a continuation application of Serial No. 08/815,301 and Serial No. 09/050,762, entitled OPTICAL INFORMATION RECORDING MEDIUM, PRODUCING METHOD THEREOF AND METHOD OF RECORDING/ERASING/REPRODUCING INFORMATION by the following inventor(s):

Full Name Of Inventor	Family Name	First Given Name	Second Given Name
Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
Post Office Address	Post Office Address	City	State & Zip Code/Country
Full Name Of Inventor	Family Name	First Given Name	Second Given Name
Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
Post Office Address	Post Office Address	City	State & Zip Code/Country
Full Name Of Inventor	Family Name	First Given Name	Second Given Name
Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
Post Office Address	Post Office Address	City	State & Zip Code/Country
Full Name Of Inventor	Family Name	First Given Name	Second Given Name
Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
Post Office Address	Post Office Address	City	State & Zip Code/Country

06/25/2001 GTEFFERA 00000128 09390228

01 FC:131  
02 FC:103

710.00 OP  
468.00 OP

Full Name Of Inventor	Family Name Ohno	First Given Name Eiji	Second Given Name
Residence & Citizenship	City Osaka	State or Foreign Country Japan	Country of Citizenship Japan
Post Office Address	Post Office Address 1-4-15, Himurodai, Hirakata-shi	City Osaka	State & Zip Code/Country 573-0115 / Japan
Full Name Of Inventor	Family Name Nagata	First Given Name Ken'ichi	Second Given Name
Residence & Citizenship	City Hyogo	State or Foreign Country Japan	Country of Citizenship Japan
Post Office Address	Post Office Address 12-7, Kaminochi, Nishinomiyashi	City Hyogo	State & Zip Code/Country 663-8021 / Japan

The above-identified prior application in which no payment of the issue fee, abandonment of, or termination of proceedings has occurred, is hereby expressly abandoned as of the filing date of this new application. Please use all the contents of the prior application file wrapper, including the drawings, as the basic papers for the new application.

1. ☐ Enter the amendment previously filed on , but unentered, in the prior application.
2. ☒ A preliminary amendment is enclosed.

The filing fee is calculated below on the basis of the claims existing in the prior application as amended at 1 and 2 above:

**CLAIMS AS FILED**

NUMBER FILED	NUMBER EXTRA		RATE	FEE
TOTAL CLAIMS: 46 -20	26	x	\$80	\$468.00
INDEPENDENT CLAIMS 3 -3	0	x	\$80	\$0.00
			BASIC FILING FEE:	\$710.00
			TOTAL FILING FEE:	\$1178.00

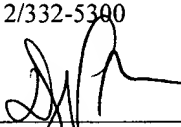
☐ Small entity status is claimed pursuant to 37 CFR 1.27.

3. ☒ Payment of fees:
  - ☒ Attached is a check in the amount of \$ 1178.00.
  - ☐ Please charge Deposit Account No. 13-2725.
4. ☒ The Commissioner is hereby authorized to charge any additional fees as set forth in 37 CFR §§ 1.16 to 1.18 which may be required by this paper or credit any overpayment to Account No. 13-2725.
5. ☒ Priority of applications Serial No. 8-052772, filed on March 11, 1996, Serial No. 8-176061, filed July 5, 1996, Serial No. 8-234016, filed September 4, 1996, Serial No. 9-079477, filed March 31, 1997, Serial No. 9-217627, filed August 12, 1997 and Serial No. 10-011776, filed January 23, 1998, all in Japan, is claimed under 35 U.S.C. 119.
6. ☒ The certified copies were filed in prior parent cases Serial No. 08/815,301 and Serial No. 09/050,762.
7. ☒ The prior application is assigned of record to Matsushita Electric Industrial Co., Ltd. located at 1006-banchi, Oaza-Kadoma, Kadoma-shi, Osaka 571-8501, Japan.

8. ☒ The Power of Attorney in the prior application is to:
- Merchant & Gould P.C.  
Minneapolis, MN 55402  
(612/332-5300)
9. ☒ A petition and fee to extend the term in the prior application until June 22, 2001 are enclosed herewith.
10. ☐ The inventor(s) in this application are less than those named in the prior application and it is requested that the following inventors identified above for the prior application be deleted:
11. ☒ Also Enclosed: Information Disclosure Statement, form 1449, 70 cited references; Request for Corrected Filing Receipt and copy of marked-up filing receipt
12. ☒ Address all future communications to the **Attention of Douglas P. Mueller** (may only be completed by attorney or agent of record) at the address below.
13. ☒ A return postcard is enclosed.

Respectfully submitted,

MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, MN 55402-0903  
612/332-5300

  
\_\_\_\_\_  
Douglas P. Mueller  
Reg. No. 30,300  
DPM/tvm



Date: June 21, 2001

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#11/CFR

S/M 09/390,228

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	UNO et al.	Examiner:	Angebrannt, M.
Serial No.:	09/390,228	Group Art Unit:	1756
Filed:	September 3, 1999	Docket No.:	10873.274US11
Title:	OPTICAL INFORMATION RECORDING MEDIUM, PRODUCING....		

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EL815525908US

Date of Deposit: June 21, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.

By:

Name: Omesh Singh

REQUEST FOR CORRECTED FILING RECEIPT

Office of Initial Patent Examination's Customer Service Center  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Enclosed is a photocopy of the filing receipt from the United States Patent and Trademark Office in the above-identified application showing requested corrections in red ink.

The attorney docket number is incorrect.

Please replace "10873.274US1" with --10873.274US11--.

The applicants name is incorrect.

Please replace "OTOBA" with --UNO--.

All applicant home cities are incorrect.

Please replace all (3) "Hirakata-shi" with --Osaka--.

Please replace "Kadoma-shi" with --Osaka--.

Please replace "Katano-shi" with --Osaka--.

Please replace "Nishinomiya-shi" with --Hyogo--.

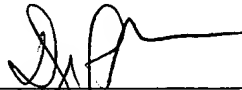
Correction of the records of the United States Patent and Trademark Office and issuance of a corrected filing receipt are respectfully solicited.

Respectfully submitted,

MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, Minnesota 55402-0903  
(612) 332-5300

Dated: June 21, 2001

By



Douglas P. Mueller  
Reg. No. 30,300

DPM/tvm

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/390,228	09/03/99	1773	\$2,096.00	[10873.274US1]	19	74	6

10873.274 US I 1

-- 10873.274USI --

MERCHANT & GROULD P.C. DPM  
3100 NORWEST CENTER  
90 SOUTH SEVENTH STREET  
MINNEAPOLIS MN 55402-4131

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

-- UNO -- -- OSAKA --

Applicant(s)

MAYUMI [OTOBA] [HIRAKATA-SHI], JAPAN; NOBORU YAMADA, [HIRAKATA-SHI] JAPAN; KATSUMI KAWAHARA, [KADOMA-SHI] JAPAN; HIROYUKI OHTA, [KATANO-SHI] JAPAN; EIJI OHNO, [HIRAKATA-SHI] JAPAN; KEN'ICHI NAGATA, [NISHINOMIYA-SHI] JAPAN.

-- OSAKA -- -- Hyogo --

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A CON OF 08/815,301 03/11/97  
AND A CON OF 09/050,762 03/30/98 ABN

FOREIGN APPLICATIONS-

JAPAN	8-052772	03/11/96
JAPAN	8-176061	07/05/96
JAPAN	8-234016	09/04/96
JAPAN	9-079477	03/31/97
JAPAN	9-217627	08/12/97
JAPAN	10-011776	01/23/98

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 09/22/99

TITLE

OPTICAL INFORMATION RECORDING MEDIUM, PRODUCING METHOD THEREOF  
AND METHOD OF RECORDING/ERASING, REPRODUCING INFORMATION

PRELIMINARY CLASS: 428

DATA ENTRY BY: BLIZZARD, SHARON .L TEAM: 01 DATE: 12/06/99

~~THESE DATA ARE NOT TO BE USED FOR ANY OTHER PURPOSES~~

(See reverse for new important information)

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